



**Form ADV Part 2B
Brochure Supplement**

Tatiana Aleksandra Sunik

Office Address

405 Grand Ridge Drive
Ridgefield, NJ 7657
Telephone: (917) 881-1073

October 2, 2024

Item 1 – Cover Page

This Brochure Supplement provides information about Tatiana Aleksandra Sunik that supplements the Forum Financial Management, LP (“Forum”) Form ADV Part 2A (“Firm Brochure”). You should have received a copy of that Firm Brochure. Please contact us at (630) 873-8520 if you did not receive Forum’s Firm Brochure or if you have any questions about the contents of this Brochure Supplement. You can also obtain a copy of the Firm Brochure at www.forumfinancial.com. The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148.

Additional information about Tatiana Aleksandra Sunik is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: Tatiana Aleksandra Sunik
Individual CRD #: 4867152
Born: 1981

Education Background:

- Ithaca College: Bachelor of Science (BS), Business Administration – 2004

Business Experience:

- Forum Financial Management, LP: Investment Adviser Representative – 10/2024 to Present
- Hera Financial: Founder – 6/2024 to Present
- Mariner Wealth Advisors: Senior Wealth Advisor – 8/2023 to 5/2024
- Klingman and Associates LLC: Financial Planner – 6/2007 to 8/2023
- Raymond James Financial Services, Inc.: Financial Advisor – 6/2007 to 8/2023

Professional Designations, Certifications, & Exams:

- CERTIFIED FINANCIAL PLANNER™ (CFP®), 2010
- Certified Divorce Financial Analyst® (CDFA®), 2018

Please refer to [Appendix](#) for definitions of the above.

Item 3 – Disciplinary Information

Tatiana has no reportable legal or disciplinary history.

Item 4 – Other Business Activities

Tatiana is the Founder with Hera Financial, providing financial planning and divorce financial planning. This activity accounts for approximately 40% of her time. Tatiana receives additional compensation for this outside business activity. Clients of Hera Financial or Tatiana in this business activity could also be clients of Forum Financial Management, LP. The compensation received by Tatiana in this capacity is separate and apart from the fees clients pay Forum for advisory services. Clients should be aware that the receipt of additional compensation by Tatiana in this outside business activity creates a conflict of interest. However, clients are under no obligation to purchase financial planning or divorce financial planning services through Tatiana.

Item 5 – Additional Compensation

Tatiana receives additional compensation as a result of her other business activity disclosed in Item 4 above but does not otherwise receive any economic benefit for investment advisory or investment-related services beyond her role at Forum.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Allison Tronnes

Chief Compliance Officer
(630) 873-8512

atronnes@forumfinancial.com

Nikki Hopson

Advisor Development and Supervision
(630) 376-4367

nhopson@forumfinancial.com

Appendix

Certified Divorce Financial Analyst® (CDFA®)

The Certified Divorce Financial Analyst (CDFA®) is a professional designation awarded by the Institute for Divorce Financial Analysts (IDFA®). Individuals who hold the CDFA® designation have completed a course of study encompassing divorce laws and procedures; marital versus separate property; pensions and retirement plans; options for the marital home; fundamentals of child and spousal support; tax issues related to selling or transferring property; and debt, credit, and bankruptcy. Individuals must meet minimum educational and professional experience, in addition to passing an exam consisting of 150 multiple-choice questions. To assure

continuing competency in tax codes, legislative, and other ongoing developments in the field of divorce financial planning, designation holders must report 30 hours of divorce-related continuing education every two years. For more information, refer to IDFA's website at: <https://institutedfa.com/about-cdfa-course/>.

CERTIFIED FINANCIAL PLANNER™ (CFP®)

The CERTIFIED FINANCIAL PLANNER™ (CFP®) is a professional certification awarded by the Certified Financial Planner Board of Standards, Inc. (CFP Board). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized for its high standards for education, examination, experience, and ethics.

To attain the right to use the CFP® certification, an individual must satisfactorily fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials. CFP Board implemented the bachelor's degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor's or higher degree or completed a financial planning development capstone course.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement* and agree to be bound by CFP Board's *Code of Ethics and Standards of Conduct* ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who obtain the CFP® certification must also complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Ethics** – Annually reaffirm and commit to complying with CFP Board's *Code and Standards*. This includes a commitment to CFP Board, to act as a fiduciary, and to act in the best interests of the client at all times when providing financial advice and financial planning.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge and skills, and keep up with developments in financial planning. Two of the hours must be CFP Board-approved curriculum on ethics.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board's disciplinary process, which could result in suspension or permanent revocation of the CFP® certification. For more information, refer to the CFP Board's website at: <https://www.cfp.net/>.