



**Form ADV Part 2B  
Brochure Supplement**

**Raleigh A. Smith**

**Office Address**

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**July 26, 2024**

**Item 1 – Cover Page**

This Brochure Supplement provides information about Raleigh A. Smith that supplements the Forum Financial Management, LP (“Forum”) Form ADV Part 2A (“Firm Brochure”). You should have received a copy of that Firm Brochure. Please contact us at (630) 873-8520 if you did not receive Forum’s Firm Brochure or if you have any questions about the contents of this Brochure Supplement. You can also obtain a copy of the Firm Brochure at [www.forumfinancial.com](http://www.forumfinancial.com). The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148.

Additional information about Raleigh A. Smith is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

**Full Legal Name:** Raleigh A. Smith  
**Individual CRD #:** 7137867  
**Born:** 1991

### Education Background:

- Northwestern University: Bachelor of Science (BS), Business Institutions – 2014

### Business Experience:

- Bridge Generations Wealth Management LLC: Investment Adviser Representative – 5/2024 to Present
- Forum Financial Management, LP: Investment Adviser Representative – 7/2022 to Present
- NPC Financial: Financial Representative – 6/2019 to 7/2022

### Professional Designations, Certifications, & Exams:

- Chartered Financial Consultant® (ChFC®), 2023
- Uniform Investment Adviser Law Examination (Series 65 Exam), 2022

Please refer to [Appendix](#) for definitions of the above.

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## Item 3 – Disciplinary Information

Raleigh has no reportable legal or disciplinary history.

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## Item 4 – Other Business Activities

Raleigh is dually registered as an Investment Adviser Representative of Bridge Generations Wealth Management LLC (“Bridge Generations”), a SEC-registered investment adviser. Raleigh is in the process of transitioning all his existing client relationships to Bridge Generations, and Forum anticipates that Raleigh will withdraw registration as an Investment Adviser Representative of Forum by December 31, 2024. Raleigh will no longer provide investment advisory services through Forum once the transition is complete. Accordingly, Raleigh will refer clients to Bridge Generations, so those clients can continue to receive his advisory services after Forum withdraws his registration as an Investment Adviser Representative of Forum. This recommendation presents a conflict of interest, to the extent that Raleigh receives a direct or indirect economic benefit from any such referral. Raleigh and Forum mitigate this conflict by reminding clients that they are not under any obligation to engage Bridge Generations to

provide services. However, clients who ultimately decline to transition their relationships to Bridge Generations will not be able to receive ongoing advisory services from Raleigh after the transition to Bridge Generations is complete and Raleigh withdraws his registration with Forum.

Please refer to “Item 10 – Other Financial Industry Activities and Affiliations” of Forum’s Firm Brochure for complete disclosure of the above referenced outside business activities.

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## Item 5 – Additional Compensation

Raleigh receives additional compensation as a result of his other business activity disclosed in Item 4 above. Please also refer to “Item 5 – Fees and Compensation” and “Item 14 – Client Referrals and Other Compensation” of Forum’s Firm Brochure for additional disclosures on this topic.

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## Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum’s compliance program is overseen by Forum’s Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

**Allison Tronnes**

Chief Compliance Officer  
(630) 873-8512

[atronnes@forumfinancial.com](mailto:atronnes@forumfinancial.com)

**Nikki Hopson**

Advisor Development and Supervision  
(630) 376-4367

[nhopson@forumfinancial.com](mailto:nhopson@forumfinancial.com)

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## Appendix

### **Chartered Financial Consultant® (ChFC®)**

The Chartered Financial Consultant® (ChFC®) is a professional designation awarded by the American College of Financial Services. Individuals who hold the ChFC® designation have completed eight courses of study encompassing the financial planning process and environment, insurance planning, income taxation, retirement needs, investments, estate planning, a comprehensive case analysis on personal financial planning, and contemporary applications in financial planning. Individuals must meet minimum educational and professional experience, recommit to the American College of Financial Services' Code of Ethics, reconfirm client-facing status annually, update contact information annually, and pay an annual fee to the American College of Financial Services. To assure continuing competency, individuals must report 30 hours of related and ethics continuing education every two years. For more information, refer to the American College of Financial Services' website at: <https://www.theamericancollege.edu/designations-degrees/ChFC>.

### **Uniform Investment Adviser Law Examination (Series 65 Exam)**

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.