



**Form ADV Part 2B
Brochure Supplement**

David Cotten McClellan

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Item 1 – Cover Page

This Brochure Supplement provides information about David Cotten McClellan that supplements the Forum Financial Management, LP (“Forum”) Form ADV Part 2A (“Firm Brochure”). You should have received a copy of that Firm Brochure. Please contact us at (630) 873-8520 if you did not receive Forum’s Firm Brochure or if you have any questions about the contents of this Brochure Supplement. You can also obtain a copy of the Firm Brochure at www.forumfinancial.com. The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148.

Additional information about David Cotten McClellan is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: David Cotten McClellan
Individual CRD #: 4352059
Born: 1968

Education Background:

- University of Chicago, Booth School of Business: Master of Business Administration (MBA), Finance and Strategy Marketing – 1998
- University of Texas at Austin: Bachelor of Arts (BA), Economics and History – 1990

Business Experience:

- Forum Financial Management, LP: Partner – 7/2022 to Present
- Forum Financial Management, LP: Investment Adviser Representative – 8/2015 to Present
- DZee Solutions, Inc. (dba AiVante): Vice President and Head of Wealth Management Solutions – 2/2019 to Present
- DZee Solutions, Inc. (dba AiVante): Business Advisor – 1/2016 to 2/2019
- HedgeACT: Consultant – 5/2016 to 12/2016
- Albridge Solutions, Inc.: Director of Strategy and Execution – 2/2011 to 8/2015

Professional Designations, Certifications, & Exams:

- Uniform Investment Adviser Law Examination (Series 65 Exam), 2015

Please refer to [Appendix](#) for definitions of the above.

Item 3 – Disciplinary Information

David has no reportable legal or disciplinary history.

Item 4 – Other Business Activities

David is the Vice President and Head of Wealth Management Solutions with DZee Solutions, Inc. (dba AiVante), providing business strategy, product management, and relationship management support. This activity accounts for approximately 10% of his time. David receives additional compensation for this outside business activity. This business activity does not provide investment advisory or investment-related services.

Item 5 – Additional Compensation

David receives additional compensation as a result of his other business activity disclosed in Item 4 above, but does not otherwise receive any economic benefit for investment advisory or investment-related services beyond his role at Forum.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Allison Tronnes

Chief Compliance Officer
(630) 873-8512

atronnes@forumfinancial.com

Nikki Hopson

Advisor Development and Supervision
(630) 376-4367

nhopson@forumfinancial.com

Appendix

Uniform Investment Adviser Law Examination (Series 65 Exam)

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.