



**Form ADV Part 2B
Brochure Supplement**

Jack Stephen Grzenia

Office Address

1200 Harger Road, Suite 220
Oak Brook, IL 60523
Telephone: (630) 620-6200

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Item 1 – Cover Page

This Brochure Supplement provides information about Jack Stephen Grzenia that supplements the Forum Financial Management, LP (“Forum”) Form ADV Part 2A (“Firm Brochure”). You should have received a copy of that Firm Brochure. Please contact us at (630) 873-8520 if you did not receive Forum’s Firm Brochure or if you have any questions about the contents of this Brochure Supplement. You can also obtain a copy of the Firm Brochure at www.forumfinancial.com. The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148.

Additional information about Jack Stephen Grzenia is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: Jack Stephen Grzenia
Individual CRD #: 6516471
Born: 1991

Education Background:

- Southern Illinois University Carbondale: Bachelor of Science (BS), Animal Science – 2013

Business Experience:

- MP360° Tax Services, Ltd.: Assistant and Tax Preparer – 2/2024 to Present
- Purshe Kaplan Sterling Investments, Inc.: Registered Representative – 11/2016 to Present
- Forum Financial Management, LP: Investment Adviser Representative – 9/2016 to Present
- MP360° Financial Services: Assistant and Client Service Associate – 2/2015 to Present
- MP360° Tax Services, Ltd.: Assistant – 2/2015 to 6/2023
- Cetera Investment Advisers LLC: Investment Adviser Representative – 8/2016 to 11/2016
- Cetera Financial Specialists LLC: Registered Representative – 6/2015 to 11/2016

Professional Designations, Certifications, & Exams:

- Uniform Investment Adviser Law Examination (Series 65 Exam), 2021
- Securities Industry Essentials® (SIE®) Exam, 2018
- Uniform Combined State Law Exam (Series 66 Exam), 2016
- General Securities Representative Examination (Series 7 Exam), 2015

Please refer to [Appendix](#) for definitions of the above.

Item 3 – Disciplinary Information

Jack has no reportable legal or disciplinary history.

Item 4 – Other Business Activities

Jack is a Registered Representative with Purshe Kaplan Sterling Investments, Inc. (PKSI), a broker-dealer. This activity accounts for approximately 25% of his time. He is also an Assistant and Tax Preparer of MP360° Tax Services, Ltd., providing accounting and tax services. This activity accounts for approximately 35% of his time. Additionally, he is an Assistant and Client Service

Associate of MP360° Financial Services, providing financial services. This activity accounts for approximately 35% of his time. Furthermore, he is an independent insurance agent, selling insurance products. This activity accounts for approximately 5% of his time. Jack receives additional compensation for these outside business activities.

Clients of PKSI, MP360° Tax Services, MP360° Financial Services, or Jack in these business activities could also be clients of Forum Financial Management, LP. The compensation received by Jack in these capacities is separate and apart from the fees clients pay Forum for advisory services. Additionally, Jack could be eligible to receive other types of incentive awards offered by PKSI and he could receive 12b-1 fees from mutual funds that pay such fees. Clients should be aware that the receipt of additional compensation by Jack in these outside business activities creates a conflict of interest. However, clients are under no obligation to purchase investment services, securities, or tax and accounting services through Jack.

Please refer to “Item 10 – Other Financial Industry Activities and Affiliations” of Forum’s Firm Brochure for complete disclosure of the above referenced outside business activities.

Item 5 – Additional Compensation

Jack receives additional compensation as a result of his other business activities disclosed in Item 4 above. Please also refer to “Item 5 – Fees and Compensation” and “Item 14 – Client Referrals and Other Compensation” of Forum’s Firm Brochure for additional disclosures on this topic.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum’s compliance program is overseen by Forum’s Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any

questions or concerns, please reach out to one of the following:

Allison Tronnes
Chief Compliance Officer
(630) 873-8512
atronnes@forumfinancial.com

Nikki Hopson
Advisor Development and Supervision
(630) 376-4367
nhopson@forumfinancial.com

Appendix

General Securities Representative Examination (Series 7 Exam)

The General Securities Representative Examination (Series 7 exam) is an industry exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 125 scored questions. The exam assesses the competency of an entry-level registered representative to perform their job as a general securities representative and measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a general securities representative, including sales of corporate securities, municipal securities, investment company securities, variable annuities, direct participation programs, options and government securities. Candidates must pass the Securities Industry Essentials (SIE) exam and the Series 7 exam to obtain the General Securities Representative registration. For more information, refer to the FINRA website at: <https://www.finra.org/registration-exams-ce/qualification-exams/series7>.

Securities Industry Essentials® (SIE®) Exam

The Securities Industry Essentials® (SIE®) Exam is an exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 75 multiple choice questions. Candidates have 75 minutes to complete the exam. In order for a candidate to pass the SIE® Exam, the individual must correctly answer 70 of the 75 scored questions. For more information, refer to the FINRA website at: <https://www.finra.org/registration-exams-ce/qualification-exams/securities-industry-essentials-exam>.

Uniform Combined State Law Exam (Series 66 Exam)

The Uniform Combined State Law Exam (Series 66 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 100 scored questions. Candidates have 150

minutes to complete the exam. In order for a candidate to pass the Series 66 exam, the individual must correctly answer at least 73 of the 100 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.

Uniform Investment Adviser Law Examination (Series 65 Exam)

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.