



**Form ADV Part 2B
Brochure Supplement**

John Joseph Schultz, III

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Item 1 – Cover Page

This Brochure Supplement provides information about John Joseph Schultz, III that supplements the Forum Financial Management, LP (“Forum”) Form ADV Part 2A (“Firm Brochure”). You should have received a copy of that Firm Brochure. Please contact us at (630) 873-8520 if you did not receive Forum’s Firm Brochure or if you have any questions about the contents of this Brochure Supplement. You can also obtain a copy of the Firm Brochure at www.forumfinancial.com. The home office of Forum Financial Management, LP is located at 1900 South Highland Avenue, Suite 100, Lombard, IL 60148.

Additional information about John Joseph Schultz, III is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Full Legal Name: John Joseph Schultz, III
Individual CRD #: 7180054
Born: 1979

Education Background:

- University of Chicago, Booth School of Business: Master of Business Administration (MBA), Marketing, Strategy, and Economics – 2013
- University of California, Los Angeles: Bachelor of Arts (BA), Business and Economics – 2002

Business Experience:

- Forum Financial Management, LP: Investment Adviser Representative – 9/2019 to Present
- Expedia Group: Mountain Region Leadership Team and Global Accounts Leadership – 3/2018 to 1/2020
- ZenPayroll (dba Gusto): Partner Sales – 11/2016 to 3/2018
- Extended Personal Travel: Employee – 8/2016 to 11/2016
- Expedia Group: Director of Strategic Partnerships – 6/2014 to 8/2016
- Prophet Brand Strategy: Consultant – 9/2013 to 6/2014

Professional Designations, Certifications, & Exams:

- Uniform Investment Adviser Law Examination (Series 65 Exam), 2019

Please refer to [Appendix](#) for definitions of the above.

Item 3 – Disciplinary Information

Joey has no reportable legal or disciplinary history.

Item 4 – Other Business Activities

Joey is not engaged in any other business or occupation beyond his role at Forum that is investment-related or otherwise poses a conflict of interest to his provision of advisory services through Forum.

Item 5 – Additional Compensation

Joey does not receive any additional compensation or economic benefit for investment advisory or investment-related services beyond his roles at Forum.

Item 6 – Supervision

As a registered investment adviser, Forum administers and enforces a regulatory compliance program, reasonably designed to detect and prevent violations of the Investment Advisers Act of 1940 and other applicable state and federal securities laws. Forum's compliance program is overseen by Forum's Chief Compliance Officer, Allison Tronnes, who delegates advisor supervision responsibilities among various advisor support personnel and teams.

Forum has a dedicated Advisor Support Team, and within that team is the primary point of contact for advisor supervision. Should a client or prospective client have any questions or concerns, please reach out to one of the following:

Allison Tronnes

Chief Compliance Officer
(630) 873-8512

atronnes@forumfinancial.com

Nikki Hopson

Advisor Development and Supervision
(630) 376-4367

nhopson@forumfinancial.com

Appendix

Uniform Investment Adviser Law Examination (Series 65 Exam)

The Uniform Investment Adviser Law Examination (Series 65 exam) is a North American Securities Administrators Association (NASAA) exam administered by the Financial Industry Regulatory Authority, Inc. (FINRA). The exam consists of 130 scored questions. Candidates have 180 minutes to complete the exam. In order for a candidate to pass the Series 65 exam, the individual must correctly answer at least 94 of the 130 scored questions. For more information, refer to the NASAA website at: <https://www.nasaa.org/exams/>.